



Quick facts on participation

Actively engaging citizens and stakeholders in the development of Sustainable Urban Mobility Plans



Participation – the challenge in a nutshell

A Sustainable Urban Mobility Plan (SUMP) sets out a programme with goals and priorities for the long-term development of a city's transport system. A transition towards sustainable mobility requires active support from the public and stakeholders and if successful, viable strategies are to be found. A dialogue-based participation process is crucial for example, for analysing mobility problems, developing common objectives, and selecting mobility measures. The engagement of citizens and stakeholders can create a positive foundation for collaborative planning, improve the knowledge base, consider new ideas and opinions and increase the overall quality and credibility of decision making.

There are also challenges to running an effective participation process. For example, a lack of political support to conduct a SUMP process that takes lay and expert knowledge seriously, inadequate financial resources or limitations in staff capacities. If stakeholders and the public are insufficiently addressed during the SUMP development process, planners might face unexpected interventions and miss opportunities for cooperation. Further, a wide range of involvement tools are available from which the planning authority needs to find the most suitable combination. Finally, inputs from citizens and stakeholders need to be fed back into technical planning and political decision making.

| Key tasks in SUMP development | Definition of SUMP process | Base conditions and scenarios | Visions, objectives and targets | Plan elaboration | Plan implementation | Plan and measure evaluation |
|---|-------------------------------|----------------------------------|---------------------------------------|---------------------|------------------------|-----------------------------------|
| Institutional cooperation | | | | | | |
| Investigating legal cooperation frameworks | | ••• | | | ••• | |
| Identifying institutional actors and understanding their agendas — | | ••• | | ••• | ••• | |
| Assessing institutional skills, knowledge, capacities and resources | -ÖÖÖ- | ••• | | ••• | ••• | |
| Building cooperation structures and defining responsibilities | -ÖÖÖ- | | | | ••• | |
| Managing institutional partnerships | | | 000 | | | |
| Evaluating institutional partnerships | | | | ••• | | |
| Participation | | | | | | ••• |
| Identifying local and regional stakeholders and their interests | -000 | | | | | |
| Developing a strategy for citizen and stakeholder engagement | | | | | | |
| Determining levels and methods of involvement | | | | -000 | | |
| Managing participation and resolving conflicts | | | -000 | -000- | -000- | |
| Evaluating the participation process | | | | ••• | | -000- |
| Measure selection | | | | | | |
| Analysing existing measures, goals, problems and trends | | | | | | |
| Identifying and analysing suitable types of policy measures | | ••• | | | | |
| Developing detailed specification of policy measures and packages | | | | - ČČČ - | | |
| Conducting an appraisal of the proposed measures and packages — | | | | | | |
| Agreeing on responsibilities and implementing measure packages — | | | | | | |
| Monitoring & evaluation | | | | | | |
| Elaborating a monitoring and evaluation plan | | | | | | |
| Selecting indicators for monitoring and evaluation | | | | | | |
| Collecting data and seeking out new data sources | | | | | | |
| Analysing data and indicators and presenting results | | - ČČČ- | | | | -000- |
| Evaluating the SUMP development process | | | | | -ÖÖÖ- | |
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process definition to plan and measure evaluation. The chart presents key SUMP tasks for planning authorities related to the four challenges.

A SUMP process is a sequence of phases from Institutional cooperation and participation are continuous, horizontal activities that should commence early, during the SUMP process definition phase. Measure selection as well as monitoring and evaluation activities

are particularly relevant in the subsequent analytical and technical planning phases. The chart reflects first-time SUMP development: revision and updating of a SUMP should build on the already established structures.

Key tasks in the SUMP development process Source: Rupprecht Consult, 2016





Stakeholder meeting in Budapest Source: BKK Centre for Budapest Transport

Identify clearly the stages in the SUMP cycle for which participation will be pursued

Developing a participation strategy is helpful to define the stages in the SUMP cycle for which citizens and stakeholders will be invited to participate and whether to separate or blend them in terms of timing and format. A participation strategy facilitates coordination and provides transparency about the participation process and its objectives among participants.

Provide everyone with the opportunity to get involved

The participation process should reflect the range of stakeholders and demographic as well as socioeconomic diversity who are affected by or affect the development and implementation of the mobility plan. This also includes groups that tend to be difficult to engage. There is a great variety of involvement formats available for SUMP development, each with different levels of collaboration (e.g. crowdsourcing local mobility information, interactive vision development, commenting on the draft mobility plan). The planning team should review face-to-face and online involvement tools for participation and select the most appropriate methods for each stage in the SUMP cycle and each group of participants.

Record and analyse the input received systematically and transparently

Input from citizens and stakeholders needs to be documented, reviewed and analysed. It is important to be clear and open about the SUMP development process and transparent about how decisions will be made. Dialogues should be open and respectful while accepting that it will not necessarily be possible to pursue every suggestion.

Secure sufficient resources to prepare and carry out the participation process

The overall participation concept should be appropriate to the local context, experience, skills, resources and capacities. Planning and coordinating participation activities requires substantial efforts and time. This also includes thorough monitoring and quality control to track progress and take corrective actions as needed. Resources should also be reserved for critically reviewing the effectiveness of the participation process in order to enhance participation in future SUMPs. Developing a quality participation culture is a learning and knowledge development process for the authority organising participation, and also its citizens and stakeholders.

Are you curious to know more?

Further information and various local case examples can be found in the full **Manual on Participation!**



For more information you may also join us on www.eltis.org and www.sump-challenges.eu



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For more information

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